IFW

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/701,262 Filing Date 11/03/2003 ANSMITTAL First Named Inventor Richard L. Klein et al. **FORM** Art Unit 1742 **Examiner Name** or all correspondence after initial filing) Attorney Docket Number 51298-00001 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Request for Refund **Express Abandonment Request** 1. Postage paid postcard. CD, Number of CD(s) _ Information Disclosure Statement Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Preston Gates & Ellis LLP Signature Ushille V Printed name Michelle S. Glasky, Ph Reg. No. 54,124 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Maria Nadal Date Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF MAU

I hereby certify that on paper (along with any paper referred to as being attached or enclosed) deposited with the United States Service with sufficient postage to in an envelope addressed to: Mail Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

Inventor: Richard L. Klein et al.

Serial No.: 10/701,262 Filing Date: 11/03/2003

Title: Metallic Composite Coating

for Delivery of Therapeutic Agents From the Surface of

Implantable Devices

Examiner:

Group Art Unit: 1742

Atty Docket No.: 51298-00001 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56. 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§609 and 707.05(b), enclosed is a copy of the substitute for FORM PTO-1449 listing the references that are known to applicant. Applicants enclose copies of each of the listed references which are not U.S. patents.

This art is being filed for consideration in compliance with § 1.97 within the following time period:

37 C.F.R. § 1.97 (b) This information is being filed for consideration and entry before the mailing date of any of a first office action on the merits.

The submission of the above-described documents does not constitute an admission nor should it be construed as a representation that: a search has been made, or that it is material to patentability as defined in § 1.56(b).

PATENT Docket No. 51298-00001 US

Applicant: Richard L. Klein et al.

Serial No.: 10/701,262 . Group Art Unit: 1742

Applicant reserves the right to antedate or otherwise remove the documents as references.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of the substitute for FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicants believe no fee is due with this filing, however, if it is deemed that a fee is due, the Director is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-3207 associated with this response.

Respectfully submitted,

Dated: 4/1/05

Michelle S. Glasky ₱h.D. Registration No. 54,124

Telephone: (949) 253-0900 Facsimile: (949) 253-0902

PRESTON GATES & ELLIS LLP 925 4th Avenue, Suite 2900 Seattle, WA 98104-1158 Telephone: 206-623-7580

Facsimile: 206-623-7022

Customer Number: 48,423

51298-00001 US

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO 10/701,262 **Application Number** 11/03/2003 Filing Date REFORMATION DISCLOSURE 0 9 2005 STATEMENT BY APPLICANT Richard L. Klein First Named Inventor 1742

Group Art Unit Examiner Name

Attorney Docket Number

	······	Document Number	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant
Examiner Initials	Cite No. ¹	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY	Document Document	Figures Appear
	110.	US - 5,064,435	11/12/1991	Porter	
		US - 6,019,784	02/01/2000	Hines et al.	
		US - 2002/0055770	05/09/2002	Doran et al.	
		US - 2003/0028242	02/06/2003	Valiana et al.	
		US -			
		US -			
		US -			

	FOREIGN	PATENT DOC	UMENTS		
Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	County Code Transcription				<u> </u>
	GB 1137960	12/27/1968	Clevite Corporation		ļ
	DE 10113030	09/19/2002	GFE Metalle UND		↓
					+-
					+-
					+-
					+
					+
	NON PATENT	LITERATURE	DOCUMENTS	<u> </u>	
Cite	Include name of the author (in CA	(in CAPITAL LETTERS), title of the article (when appropriate), title of the L serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),			
					+-
	No.¹	Cite No.1 County Code3 Number4 Kind Code5 (if known) GB 1137960 DE 10113030 NON PATENT Include name of the author (in CA item (book, magazine, journal, series	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY County Code3- Number4-Kind Code5 (if known) GB 1137960 DE 10113030 09/19/2002 NON PATENT LITERATURE Include name of the author (in CAPITAL LETTERS), item (book, magazine, journal, serial, symposium, cata	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY County Code3- Number4-Kind Code5 (if known) GB 1137960 12/27/1968 Clevite Corporation DE 10113030 09/19/2002 GFE Metalle UND NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-interest of the symposium of the	Date MM-DD-YYYY County Code ³⁻ Number ⁴⁻ Kind Code ⁵ (if known) GB 1137960 DE 10113030 DE 10113030 NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),

Evaminor	Date	
Examiner	Considered	
Signature	 	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to processO and application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.9/ and 1.98. The information is required to obtain or retain a benefit by the public which is to tile (and by the USPTO to process) and application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is stilmated to take 2.0 hours to complete, including gathering, preparing, and submitting the completed application by USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, US Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

use as many sheets as necessary)

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.